

Dawn R. Glassburn-Hoesli

Temporary Restraining Order

DATE: August 30, 2001 RESPONDENT: Dawn R. Glassburn-Hoesli, of Salina, Kansas
ORDER: Temporary Restraining Order issued by Saline County District Court Judge Dan Boyer prohibiting respondent from violating the provisions of the Kansas Securities Act, destroying or otherwise concealing documents or evidence related to the allegations or dissipating or concealing assets obtained through her alleged violations of the Kansas Securities Act. The TRO comes in response to a Petition for Injunctive and Other Equitable Relief alleging Glassburn-Hoesli committed fraud in connection with the sale of a security, and that neither she nor the investment opportunity were properly registered under the Kansas Securities Act.

Disposition of Criminal Case

DATE: April 12, 2002 DEFENDANT: Dawn R. Glassburn-Hoesli, of Salina, Kansas COURT: Saline County District Court JUDGE: Honorable Dan D. Boyer Salina resident, Dawn R. Glassburn-Hoesli, 31, was convicted on April 12 in Saline County District Court of eight counts each of theft by deception, selling unregistered securities, selling securities without a license, and securities fraud. Sentencing is scheduled for June 17 at 11:00 a.m. in Saline County District Court before the Honorable Dan D. Boyer.

Sentencing

DATE: June 17, 2002 DEFENDANT: Dawn R. Glassburn-Hoesli, of Salina, Kansas COURT: Saline County District Court JUDGE: Honorable Dan D. Boyer The Honorable Dan D. Boyer sentenced Glassburn-Hoesli to a 26-month prison term, 24 months of post-release supervision, and ordered her to pay \$249,646.98 in restitution to the victim. She was convicted on April 12 of eight counts each of theft by deception, selling unregistered securities, selling securities without a license, and securities fraud.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.